



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: _____

Date & Time Received: _____

Date & Time of Response: _____

Entity Requesting FRF: _____

Title of Project: _____

Administrative Oversight: _____

Amount of Funding Requested: _____

Eligibility Determination:

- ☐ FRF eligible
☐ FRF ineligible
☐ Additional information requested

FRF Eligibility Category:

- ☐ (1) Public Health and Economic Impact
☐ (2) Premium Pay
☐ (3) Government Services/Lost Revenue
☐ (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: _____

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Name of DOJ Reviewer: _____

Signature of DOJ Reviewer: 

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR GOVERNANCE-CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Governance-Certified Chapter requesting FRF: Fort Defiance Chapter Date prepared: 09/16/2022

Chapter's PO Box 366 phone & email: 928-729-4352
mailing address: Fort Defiance, AZ 86504 website (if any): _____

This Form prepared by: Elvita Begaye phone/email: 928-729-4352
Project Manager fortdefianceprojectmanager@gmail.com
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: Bathroom Addition

Chapter President: Aaron Sam phone & email: 505-713-8767
Chapter Vice-President: Genevieve Jackson phone & email: gjackson@navajochapters.org
Chapter Secretary: Grace Tracy phone & email: gtracy@navajochapters.org
Chapter Treasurer: Grace Tracy phone & email: gtracy@navajochapters.org
Chapter Manager or CSC: Lorraine Nelson phone & email: 928-729-4352 - ftdefiance@navajochapters.org
DCD/Chapter ASO: Patricia Begay phone & email: pdbegay@navajochapters.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): no known
☐ document attached

Amount of FRF requested: 500,000.00 FRF funding period: 1/1/23 - 12/21/26
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

Our time has advance in life, technology, agriculture and has even became to where we are safe only in our communities and confront zones. We have families that live with the area that have running water to their house but there was never a bathroom in that floor plan, so many utilized outhouses (portable outdoor shack) which usually does not involve proper sanitation. Our community would greatly benefit from getting simple hygiene to having proper sanitation overall. *Needes to build 15 bathroom additions for 15 families.*
☐ document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Our community has suffered tremendously due to the current out break of Covid 19. The pandemic has caused a great scare throughout the Nation and even throughout the world. We have lost many people to this unforeseen virus. As we were encouraged to stay home and be safe. While many people were getting sick throughout the Navajo Nation, while water, food, and personal hygiene became a challenge. We have numerous families that are living with out the luxury of running water fo
☐ document attached

(c) A prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the Program(s) or Project(s) by December 31, 2026:

APPENDIX A

The goal of this project is to improve the community starting with helping those that do not have running water to their homes with a full functional bathroom in the comfort of their own homes.

Begin 1/1/23 and End 12/21/24

☐ document attached

(d) Identify who will be responsible for implementing the Program or Project:

The Fort Defiance Chapter (Chapter) Project Manager will be overseeing the project with the assistance of the Chapter Manager and the Chapter Vice-President.

☐ document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

Once job is completed and to specs. Customer will be responsible for all maintenance cost and operation.

☐ document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

Categories: 5.1; 5.2; 5.3; 5.4; 5.5; 5.7; 5.13

The community is in need of the water and bathroom addition is no longer a necessities it is need to care for self being.

☐ document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

☒ Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's
Preparer:

[Signature]
signature of Preparer/CONTACT PERSON

Approved by:

[Signature]
signature of Chapter President (or Vice-President)

Approved by:

[Signature]
signature of Chapter Manager or CSC

Approved by:

[Signature]
signature of DCD/Chapter ASD

Approved to submit
for Review:

[Signature]
signature of DCD Director

FY 2023

**THE NAVAJO NATION
PROGRAM BUDGET SUMMARY**

Page 1 of 3
BUDGET FORM 1



PART I. Business Unit No.: <u> New </u>		Program Title: <u>Fort Defiance Chapter Bathroom Additions</u>		Division/Branch: <u>Division of Community Developer</u>	
Prepared By: <u>Elvita Begaye</u>		Phone No.: <u>928-729-4352</u>		Email Address: <u>ftdefiance@navajochapters.org</u>	

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
NN Fiscal Recovery Funds	1/1/23-12/21/26	500,000.00	100%	2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services	6		500,000	500,000
				7000 Special Transactions	0			
				8000 Public Assistance				
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				TOTAL		\$0.00	500,000.00	500,000

PART IV. POSITIONS AND VEHICLES	(D)	(E)
Total # of Positions Budgeted:	0	0
Total # of Vehicles Budgeted:	0	0

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.	
SUBMITTED BY: <u>Patricia Begay, D) Dept. Manager</u> Program Manager's Printed Name <u>Patricia D Begay</u> 07/31/2023 Program Manager's Signature and Date	APPROVED BY: <u>Calvin Castillo, Director</u> Division Director / Branch Chief's Printed Name <u>Calvin Castillo</u> 7/31/2023 Division Director / Branch Chief's Signature and Date

**THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA**

PART I. PROGRAM INFORMATION:									
Business Unit No.: <u> </u> <u>New</u>			Program Name/Title: <u>Fort Defiance Chapter - Bathroom Addition</u>						
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:									
<u>To build 15 bathroom additions.</u>									
PART III. PROGRAM PERFORMANCE CRITERIA:									
		1st QTR		2nd QTR		3rd QTR		4th QTR	
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement:									
Completed Bathroom addition on approve waterlines existing									
Program Performance Measure/Objective:									
To build 15 or more Bathroom Addition						2		3	
2. Goal Statement:									
Completed Bathroom addition on approve waterlines existing									
Program Performance Measure/Objective:									
To build 15 or more Bathroom Addition		5		5					
3. Goal Statement:									
Program Performance Measure/Objective:									
4. Goal Statement:									
Program Performance Measure/Objective:									
5. Goal Statement:									
Program Performance Measure/Objective:									
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.									
Patricia Begay, Delegated Department Manager II Program Manager's Printed Name  Program Manager's Signature and Date					Calvin Castillo, Director Division Director/Branch Chief's Printed Name  Division Director/Branch Chief's Signature and Date				

FY 2023

**THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION**

Page 3 of 3
BUDGET FORM 4

PART I. PROGRAM INFORMATION:			
Program Name/Title: <u>Fort Defiance Chapter Bathroom Additions</u>		Business Unit No.: <u>New</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6500	Contractual Services		500,000
6510	Professional Services	500,000	
TOTAL		500,000	500,000

Page 1 of 1
PROJECT FORM

FOR OMB USE ONLY: Resolution No: FMIS Set Up Date: Company No: OMB Analyst:

Fort Defiance Chapter House Waterline and Bathroom Additons

	Name	Contact Number	Location	Homesite	GPS	Structure of Home	Bathroom Addition	Waterline
1	Loretta Holyan	505-879-1419	3.1 miles North on NR 112	YES		Mobile Home - 2 Bed with Bathroom		20-30 ft away
2	Danny Y Begay JR	928-206-1055		YES		Trailer		
3	Lucy James	927-729-1731	1/4 mile south of FDC			2 bd Brick house	yes	
4	Belinda Roanhorse	505-713-7762	1 Mile south of FDC	Yes	PWH9+Q5R Fort Defiance, Arizona	1 bd Brick house	Yes	
5	Marie Halona		2.5 Mile Blue Canyon			4 bd house		20 ft away
6	Manson Blackgoat	928-360-0646	NR 54, RA 919 B Coalmin	Yes		BIA Building Trailer 3 bd with Bathroom		50 yards away
7	Jonah Mitchell	928-206-7611	1/2 West of NTUA	Yes		Hogan	Yes	10 yards away
8	Edison Billie		Blue Canyon	Yes		G Shed		
9	Robert Westbrook		Black Rock	Yes	PWJ8+WW2 Fort Defiance, Arizona	Shack		
10	Christine Watchman		Route 7 Near Hosiptal	Yes	QW4R+Q9F Fort Defiance, Arizona	2 bedroom house		
11	Althea Scott	623-329-1150	Cedar Ridge	Yes		burnt home		
12	Katherine Cantsee		BIA - Fort Defiance	Yes		1 room house		
13	Danny Y Begay Jr	928-206-1055	8.2 Miles Blue Canyon	Yes		Trailer		
14	Daniel Yazza	928-206-	Fort Defiance	Yes	PWWF+24G Fort Defiance, Arizona	Brick Home		in home
15	Bernice Keeto		Fort Defiance	YES	35.451995 W 109.42648 NAD83	Hogan		




The Navajo Nation **DR. BUU NYGREN** **PRESIDENT**
Yideeskáądi Nitsáhákees **RICHELLE MONTOYA** **VICE PRESIDENT**

DCDA-M23087

MEMORANDUM

TO : DCD Departments and Programs
All Concerned

FROM : 
Calvin Castillo, Division Director
Division of Community Development

DATE : July 21, 2023

SUBJECT : **Delegation of Authority for Administrative Service Centers**

Effective today, Ms. Patricia D. Begay is delegated the authority as the Department Manager II for the Administrative Services Centers. She is responsible for managing and overseeing the department. This delegation is effective until further notice.

Your assistance and cooperation is appreciated.

ACKNOWLEDGEMENT:



Patricia D. Begay, Senior Programs and Projects Specialist
Administrative Service Centers
Division of Community Development

c



**NAVAJO NATION
FORT DEFIA E CHAPTER**

P.O. Box 366, Fort Defiance, Arizona 86504
Phone: (928) 729-4352 Fax: (928) 729-4353
Email: fdefiance@navajochapters.org

Aaron D. Sam, President
Genevieve Jackson, Vice President
Grace Tracy, Secretary/Treasurer
Herman Billie, Grazing Official
Dr. Andy Nez, 25th Council Delegate

Dr. Buu Nygren
Navajo Nation President

Richelle Montoya
Navajo Nation Vice President

RESOLUTION OF THE FORT DEFIANCE CHAPTER

FDC-2023-MAY-15-01

Approving the Selection of Warehouse, Waterline and Bathroom Addition Projects for Funding by the American Rescue Plan Act

WHEREAS:

1. Fort Defiance Chapter is a duly certified chapter of the Navajo Nation, pursuant to Navajo Nation Code Two, Sections 4001 and 4006, with authority to address and take action on the needs and concerns of its people, and
2. Fort Defiance Chapter was governance-certified on January 15, 2016, which provides autonomy in its structure and governance, and
3. The U.S. Government allocated funds to mitigate the effects of the Covid-19 pandemic for improvement of health, education, safety and general welfare of the people, and
4. There is a major need for infrastructure and other needs which indicates an alarming number of people who still live without domestic water and inside bathroom. They have to haul water from any source available, and
6. There was a huge amount of PPE, food, water, clothing, blankets, wood, bedding, that required safe storage. In addition, a warehouse could have serviced more people to stay as they were evacuated or those could not return home due to the bad weather, and
7. Fort Defiance Chapter has several heavy equipment and its implements which lack storage to avoid vandalism, destruction or damage by the weather and needs a warehouse to prolong its longevity, and
8. Fort Defiance Chapter has identified the following projects and requests the Navajo Nation to honor the following projects for approval for funding using the American Rescue Act Plan (ARPA) funds:

A. Bathroom Addition	\$500,000.00
B. Warehouse	\$1,070,301.00
C. Waterline	\$200,000.00

NOW THEREFORE BE RESOLVED THAT:

Fort Defiance Chapter hereby approves the selection of a bathroom addition, warehouse and waterline projects for funding by the Navajo Nation Office of Fiscal Recovery Funds; said projects to be included in Dr. Andy Nez's region.

CERTIFICATION

We hereby certify that the foregoing resolution was duly considered by the Fort Defiance Chapter at a duly called meeting at which a quorum was present and that same was passed by a vote of 23 in favor, 0 opposed, and 2 abstained, this 15 Day of May, 2023.

MOTIONED: Jimmie Bitsue
Aaron Sam
Aaron Sam, Chapter President

SECONDED: Elvita Begay

4/24/23



**NAVAJO NATION
FORT DEFIANCE CHAPTER**

P.O. Box 366, Fort Defiance, Arizona 86504
Phone: (928) 729-4352 Fax: (928) 729-4353
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CERTIFICATION

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MOTIONED: _____

SECONDED: _____

Aaron Sam, Chapter President

4/24/23

FORT DEFIANCE CHAPTER

PROJECTS SUBMITTED UNDER
AMERICAN RESCUE PLAN ACT

Bathroom Addition

\$500,000.00

February 2023



THE NAVAJO NATION FORT DEFIANCE CHAPTER

P.O. Box 366, Fort Defiance, Arizona 86504
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Aaron D. Sam, President
Genevieve Jackson, Vice President
Grace Tracy, Secretary Treasurer
Herman Billie, Grazing Official
Wilson Stewart, Jr., 24th Council Delegate

JONATHAN NEZ
Navajo Nation President

MYRON LIZER
Navajo Nation Vice President

BATHROOM ADDITIONS

FORT DEFIANCE CHAPTER

INTRODUCTION

Our time has advance in life, technology, agriculture and has even became to where we are safe only in our communities and confront zones. We have families that live with the area that have running water to their house but there was never a bathroom in that floor plan, so many utilized outhouses (portable outdoor shack) which usually does not involve proper sanitization. Our community would greatly benefit from getting simple hygiene to having proper sanitation overall.

Having a bathroom to utilized in the comfort of your own home and getting the proper hygiene care is what is important for all age groups. Proper hygiene can help decrease the spread of germs, virus etc.

The Navajo Nation leadership and chapter are encouraging and advocating for Bathroom Additions as we help improve our community.

STATEMENT OF NEED AND IMPACT

Our community has suffered tremendously due to the current out break of Covid 19. The pandemic has caused a great scare throughout the Nation and even throughout the world. We have lost many people to this unforeseen virus. As we were encouraged to stay home and be safe. While many people were getting sick throughout the Navajo Nation, while water, food, and personal hygiene became a challenge. We have numerous families that are living with out the luxury of running water for their personal use. We would like to make that change and provide and help those that are in need to make a simple task more accessible.

GOAL OF THE PROJECT

The goal of this project is to improve the community starting with helping those that do not have running water to their homes with a full functional bathroom in the comfort of their own homes.

OBJECTIVES

Fort Defiance Chapter will continue to help improve the community and help many families have access to running water with a full functional bathroom in the comfort of their own homes.

PROJECT DESCRIPTION

Goal and objectives are stated above. The purpose of this project is to provide community members with bathroom additions that have all the necessity to practice self-care, personal hygiene and create a better environment for themselves and as well as their families.

PLANS OF ACTION

1. Fort Defiance Chapter has started a need assessment from the community
2. Fort Defiance Chapter will assist in providing the vendor with all supporting documents
3. Fort Defiance Chapter will work closely with the vendor until project is completed.
4. Requirements by the funding source will be met and closely followed throughout the entire duration of the project.

TIMELINE

This will be determined by the vendors involved.

BUDGET

Estimate will be provided as we do the procurement process.

EVALUATION

The general process used by the vendors or others will be utilized.

COMPLETION REPORT

Project Manager of the Chapter will work with companies to close out the project, with the assistance of the Chapter Manager, Officials and Navajo Nation programs involved.